Atelier B

Proof Obligations

Reference Manual

version 3.7
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version 3.7

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Chapter 1

Glossary

Vertical development: Set of B components linked by a \texttt{REFINES} clause.

Example:

<table>
<thead>
<tr>
<th>MACHINE</th>
<th>REFINEMENT</th>
<th>REFINEMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td>MA</td>
<td>MA_1</td>
<td>MA_2</td>
</tr>
<tr>
<td>REFINES</td>
<td>MA</td>
<td>REFINES</td>
</tr>
<tr>
<td></td>
<td>MA_1</td>
<td>...</td>
</tr>
</tbody>
</table>

In this case, the vertical development is formed from the MA, MA_1 and MA_2 components.

Instancing: Assigns a value to the parameters of an abstract machine during an include/import. The parameters of the instanced abstract machine that is included or imported are called formal parameters, and the values that are assigned to them are effective parameters.

Example:

<table>
<thead>
<tr>
<th>MACHINE</th>
<th>MACHINE</th>
</tr>
</thead>
<tbody>
<tr>
<td>M1</td>
<td>M2(INTV, val)</td>
</tr>
<tr>
<td>INCLUDES</td>
<td>CONSTRAINTS</td>
</tr>
<tr>
<td>M2(1 .. 100, 35)</td>
<td>val \in INTV</td>
</tr>
<tr>
<td></td>
<td>...</td>
</tr>
</tbody>
</table>

Effective parameter: Refer to "Instancing".

Formal parameter: Refer to "Instancing".

Predicate: A predicate is a logical expression that is read like a plain language affirmation. This kind of expression may be exact or inexact. Are considered predicates: equations, inequations, inequalities, tests for belonging or inclusion. Are also considered predicates, a conjunction of two predicates, the disjunction of two predicates and the negations of a predicate.

Example:
The following expressions are predicates:

- $x^3$ \textit{“$x$ is equal to 3”}
- $5 < 2$ \textit{“$5$ is strictly less than 2”}
- $x \in \{1, 2, 4\}$ \textit{“$x$ belongs to the set \{1, 2, 4\}”}
- $x + y^2 \geq 0 \lor y < x$ \textit{“$x + y^2$ is equal to 0 or $y$ is strictly less than $x$”}

The following expressions are not predicates:

- $x + y$ \textit{“the sum of $x$ and $y$”}
- $f(2)$ \textit{“the value of $f$ in 2”}
- $\{1, 2, 4\}$ \textit{“set \{1, 2, 4\}”}
- $A \cup B$ \textit{“the union of $A$ and $B$”}

**Checking Process:** The Checking process checks the conformity of the product in relation to its specifications, throughout its specifications, throughout its development (\textit{“Are we building the product correctly?”}).
Chapter 2

Introduction

This document describes the B theory proof obligations:

**Definition:**

A *proof obligation* is a mathematical formula to be proven, in order to ensure that a B component is *correct*.

B theory specifies the proof obligations that must be proven to ensure the *correctness* of a given B component. To this end, the proof obligations assist the Checking process.

The proof obligations described in this document are mathematical formulas. To understand their effect properly, it is necessary to have a good working knowledge of B and of mathematical logic.

The proof obligations produced by the proof obligation generator in Atelier B are not exactly the ones described in this document. The proof obligation generator transforms theoretical formulas into normalized formulas (more numerous and simpler), that are ready for efficient use by the Atelier B prover.

### 2.1 General Format of Proof Obligations

The B proof obligations B are self-sufficient, i.e., no implicit information must be used in their demonstration. All of the proof obligations use the following structure:

\[
H \Rightarrow P
\]

where \( P \) and \( H \) are predicates. This formula means that it is necessary to prove the aim \( P \) applying assumption \( H \), \( H \) being generally a conjunction of predicates.

In B, the \( P \) predicate and some \( H \) assumptions are built by applying one (or more) substitution(s) to a predicate. As B is a mathematical language, the substitutions and the predicates considered are directly taken from the B source.

The reader may refer to the B language Reference Manual to find the meaning of the application of a substitution to a predicate. Note that the application of an "operation call" substitution is made by replacing this call by the body of the operation specified in the source abstract machine.
2.2 Introductory Example

Let us consider the "Example" machine below: it contains a "val" status variable, that is a natural integer, without limit values; it also defines an "increment" operation, the role of which is to add a 1 to the "val" state:

```
MACHINE Example
VARIABLES val
INVARIANT val ∈ N
OPERATIONS increment ≜ BEGIN val : val + 1 END
END
```

B semantics require that the services provided by a component (in this case the service is the "increment" operation) should never invalidate the invariant. In this case, this means that the application for the "increment" operation must preserve the val ∈ N predicate. The corresponding proof obligation is:

\[
\text{val} \in \mathbb{N} \Rightarrow [\text{val} : \text{val} + 1] \text{val} \in \mathbb{N}
\]

By applying the substitution, it becomes

\[
\text{val} \in \mathbb{N} \Rightarrow \text{val} + 1 \in \mathbb{N}
\]

If this formula is true (as well as all of the other proof obligations for the machine Example), then the B component above is correct.

2.3 Effect of the Proof Obligations

Some concepts such as the feasibility of performing substitutions, performing operations that do not belong to this definition of correctness in B. With one exception, the proof of existence of entities defined in a B specification is not requested by the theory. The justification for this is that the proof of existence, generally difficult to achieve, and therefore costly, will be performed by exhibiting a special case (the implementation) at the end of the B development process.

The exception we mentioned above applies to refineable constants (those declared in an `ABSTRACT_CONSTANTS` clause), never assigned values, the feasibility of which must be demonstrated explicitly.

As it is now established that the notion of B proof obligations is linked to this correctness, it is this latter perspective that is presented here: the theoretical proof obligations for the B language.
2.4 Overview of Proof Obligations

The description of the proof obligations is split into three parts: abstract machines, refinements and implementations.

At this point, the problem of syntax correctness that is a precondition for semantic correctness is ignored. The B components mentioned later are therefore assumed to be syntactically correct (the B BOOK "type check" concept).

The proof obligations relating to an abstract machine cover:

- the correctness of instance assignments when including machines (using the INCLUDES clause): the effective instancing parameters must satisfy the constraints of the included machine parameters;
- the correctness of assertions;
- the correctness of the initialisation: the initialisation must establish the machine invariant (the machine invariant must be true after applying the initialisation substitution);
- the correctness of operations: the operations must preserve the invariant (the machine invariant must be true after applying the operation substitution, given that the invariant was true previously); the operations must establish their postcondition.

The four categories of abstract machine proof obligations are again found for refinements. However, in the case of a refinement, the correctness of the initialisation and the operations implies the initialisation/abstract operation procedure so that the relevance of the refinement may be demonstrated. In addition, in the case of the correctness of an operation, it is not necessary to prove the postcondition: the correctness of the refinement is enough.

- correctness of the instancing (a proof obligation similar to that of an abstract machine);
- correctness of assertions;
- correctness of the initialisation: the initialisation must establish the invariant of the refinement (properties of the new variables and link invariant) without contradicting the specified initialisation;
- correctness of operations: the operations must preserve the refinement invariant (properties of the new variables and the link invariant) without contradicting the specified operation;

The proof obligations for valuations and local operations are added to the four previous categories of proof obligations for implementations. The relevance of the implementation to the initialisation and the operations leads to proof obligations that are similar to the ones for the refinements:

- correctness of the instancing when an import is made (the correctness of the proof obligation is similar to that of an include action for an abstract machine);
• correctness of the valuing of constants and abstract sets: the values must verify the properties (explicit and implicit) of constants and abstract sets;

• correctness of assertions;

• correctness of the initialisation: initialisation must establish the invariant of the implementation (properties of the new and link invariant) without contradicting the specified operation;

• correctness of operations: operations must preserve the invariant of the implementation (properties of the new variables and the link invariant) without contradicting the specified operation;

• correctness of local operation specifications: local operations must preserve the invariant of the imported machines; they must establish their postcondition;

• correctness of local operation implementations: local operations must preserve the variables of the implementation and the imported machines without contradicting their specification.
Chapter 3

Correctness of the Abstract Machine

3.1 Correctness of Inclusions

Presentation:
The inclusion of machines in B is correct once the constraints on the parameters of the formal parameters of the included machine are checked by the effective parameters specified when instancing is defined.

The instancing of the machines referenced in the INCLUDES clause may be performed from:

- abstract machine sets and constants, machines referenced in the USES clause and machines referenced in the SEES clause,
- formal parameters for the abstract machine and the machines referenced in the USES clause.

The properties of the various entities are specified by predicates in the PROPERTIES clause for the abstract machine, machines referenced in the SEES clause and machines referenced in the USES clause, and in the CONSTRAINTS clause for the abstract machine and machines referenced in the USES clause. The various predicates must therefore be present in the assumptions relative to the correctness of inclusions.

Description of the proof obligation:
The proof obligation that defines the correctness of an inclusion, to be proved for each inclusion, is formed from the following assumptions:

- Parameter constraints for the abstract machine and machines referenced in the USES clause.
- Properties of abstract machine constants, machines referenced in the SEES clause and machines referenced in the USES clause.

To these assumptions are added the following implicit assumptions:
• Any abstract set is defined as a non-empty sub-set of relative integers.

• Any set of formal parameters is defined as a non-empty sub-set of relative integers.

• Any listed set is defined as a set made up of all of these elements, the elements being distinct two by two.

Within these assumptions, the aim is to prove:

The (instanced) constraint of the included machine.

Appendix § [A.1] presents the mathematical formulation of this same proof obligation.

**Example:** Considering the following two machines:

```
MACHINE MAIN(param10)
CONSTRAINTS
  param10 < 10
END

MACHINE COUNT(par)
CONSTRAINTS
  par < 15
VARIABLES
  tab
INVARIANT
  tab ∈ (1...par) → BOOL
END
```

The proof obligation relating to the inclusion of the COUNT machine above must enable the establishment that the constraint on this machine is correctly checked by the instancing provided. The result is therefore:

\[
\text{param10} < 10 \quad \text{"Parameter constraints for the MAIN machine"}
\]

\[
\Rightarrow [\text{par} : \text{param10}] (\text{par} < 15) \quad \text{"Set parameter instance by param10"}
\]

This becomes, by applying the substitution:

\[
\text{param10} < 10 \Rightarrow \text{param10} < 15
\]

### 3.2 Correctness of Assertions

**Presentation:**

The assertions are lemmas for proof phases, i.e. they are intermediate theorems that may be deduced from the invariant used to establish proof that the component is correct. These predicates will be added (by conjunction) as an assumption for the proof obligations each time that the invariant is present in these assumptions.

The machine assertions must be proven from the invariant and the properties of the entities handled: local variables, variables of the machines referenced in the `USES`, `INCLUDES`, and `SEES` clauses, constants, sets and parameters.
The order of the assertions in the B specification text is important as the assertions are proven in sequence by adding the previously proven assertions as assumptions.

**Description of the Proof Obligation:**

The proof obligation that defines the correctness of the assertions, to be proven for each assertion in turn, is formed from the following assumptions:

- Constraints of abstract machine parameters and of machines referenced in the **USES** clause.
- Properties of the constants for the abstract machine and the machines referenced in the **SEES**, **USES**, **INCLUDES** clauses.
- Invariants and assertions for machines referenced in the **INCLUDES** clause, to which the corresponding instance definition was applied.
- Invariant of the abstract machine and the machines referenced in the **USES** clauses.
- Assertions for machines referenced in the **USES** clause.
- Previous assertions (in the order of the text) for the abstract machine.

To these assumptions the following implicit assumptions are added:

- Any abstract set is defined as a non-empty sub-set of relative integers.
- Any formal parameter set list is defined as a non-empty sub-set of relative integers.
- Any listed set is defined as a set comprising all of its elements, and the elements are distinct two by two.

Based on these assumptions, the aim is to prove:

the assertion

Appendix § [A.2] presents the mathematical formulation of this same proof obligation.

**Example:** Considering the following abstract machine:

```
MACHINE EXAM
VARIABLES xx, yy, zz
INVARIANT
xx < 0 ∧
yy > 10 ∧
zz yy * xx
ASSERTIONS
zz < 0 ;
zz * xx > 0
END
```
The proof obligations relating to the assertions are as follows:

\[
\begin{align*}
xx &< 0 \land "Machine invariant" \\
yy &> 10 \land \\
zzyy \ast xx &\Rightarrow \\
zz &< 0 & "First assertion for the machine"
\end{align*}
\]

and

\[
\begin{align*}
xx &< 0 \land "Machine invariant" \\
yy &> 10 \land \\
zzyy \ast xx &\\
zz &< 0 & "First assertion for the machine" \\
\Rightarrow \\
zz \ast xx &> 0 & "Second assertion for the machine"
\end{align*}
\]

### 3.3 Correctness of the Initialisation

**Presentation:**

The initialisation of an abstract machine is *correct* when it establishes the invariant. After applying this initialisation, the component invariant must be true; the aim of the proof is therefore the application of the initialisation to the invariant.

The initialisation of the abstract machine can be specified from:

- abstract machine sets and constants, machines references in the `USES`, `INCLUDES` and `SEES` clauses,
- formal parameters of the abstract machine and of the machines referenced in the `USES` clauses,
- machine variables referenced in the `USES` and `INCLUDES` clauses,
- consult operations and the concrete variables of the machines referenced in the `SEES` clause.

The properties of these entities are specified by predicates in the corresponding `PROPERTIES` clauses, and in the `CONSTRAINTS` clauses for the abstract machine and from the machines referenced in the `USES` clause. These predicates must therefore appear in the assumptions relating to the correctness of inclusions. The definitions and the properties of the variables are specified in the `INVARIANT` and `ASSERTIONS` clauses for the corresponding abstract machines, this is why these predicates are also found in the assumptions.

**Description of the proof obligation:**

The proof obligation that defines the correctness of the initialisation contains the following assumptions:

- Constraints for abstract machine parameters and for machine referenced in the `USES` clause.
• Properties for abstract machine constants and for machines references in the **SEES**, **INCLUDES** and **USES** clauses.

• Invariants and assertions for machines referenced in the **INCLUDES** clauses, that the corresponding instances have been applied to. \(^1\)

• Invariants and assertions of machines referenced in the **USES** and **SEES** clauses.

To these assumptions are added the following implicit assumptions:

• Any abstract set is defined as a non-empty sub-set of relative integers.

• Any formal set list parameter is defined as a non-empty sub-part of the relative integers.

• Any listed set is defined as the set comprising all of its elements, and the elements are separate two by two.

Using these assumptions, the aim is to prove:

The invariant of the abstract machine, after applying the initialisations of the machines referenced in the **INCLUDES** clause, then the initialisation of the machine.

Appendix §[A.3] presents the mathematical formulation of this same proof obligation.

**Example:** Let us consider the following machine:

```plaintext
MACHINE OP01
VARIABLES v1
INVARIANT v1 ∈ N ∧ v1 − 1 ∈ N
INITIALISATION v1 : 2
END
```

The proof obligation relating to correctness of the initialisation of the OP01 machine above, must allow the establishment of the invariant. We will therefore obtain:

"*No assumption in this example*"

\[ \Rightarrow [v1 : 2](v1 \in N \land v1 - 1 \in N) \]

Which becomes, by applying the substitution:

\[ \Rightarrow 2 \in N \land 2 - 1 \in N \]

\(^1\)Note that there is a special case (seldom encountered) that applies to groups of machines linked by **USES** clauses and simultaneously present in an **INCLUDES** clause: in this case, the invariants of these machines that link the variables of a number of components are part of the aim to be proved rather than the assumptions (cf. §[A.3]).
3.4 Correctness of Operations

Presentation:
Each operation is defined by a header and a general substitution. The header may have typing predicates for the output parameters, which constitute the postcondition of the operation. The effect produced by the application of an operation is defined as the application of the substitution to a given predicate. In order to demonstrate the correctness of an operation, the predicate used is the invariant of the machine and the postcondition of the operation:

An abstract machine operation is correct when it preserves the invariant - i.e. the invariant was true prior to applying the operation, and it is still true afterwards - and establishes its postcondition.

The proof obligation contains the invariant of the component in the Assumption, and its aim is the invariant and the postcondition after application of the operation.

The substitution that defines an operation may be specified from:

- sets and constants from the abstract machine, and the machines referenced in the USES clauses, INCLUDES and SEES,
- formal parameters for the abstract machine and the referenced machines in the USES clause,
- variables for the abstract machine and the referenced machines in the USES and INCLUDES clauses,
- accessing operations and concrete variables for the machines referenced in the SEES clause.

The definitions and properties of the parameters and constants are the predicates specified in the PROPERTIES and CONSTRAINTS clauses of the corresponding abstract machines. These different predicates are therefore in the assumptions relating to the correctness of the operation. The definitions and properties of the variables are the predicates specified in the INVARIANT and ASSERTIONS clauses of the corresponding abstract machines, this is why they are also part of the assumptions.

Description of the proof obligation:
As the proof obligation defines the correctness of an operation, it must be demonstrated for each operation, and contain the following assumptions:

- Constraints of the abstract machine parameters and of the machines referenced in the USES clause.
- Properties of the constants of the abstract machine, of machines referenced in the SEES clause, machines referenced in the INCLUDES clauses and the machines referenced in the USES clause.
- Invariants and assertions for all of the machines referenced in the INCLUDES clause, to which the corresponding instance definitions were applied.


- Invariants and assertions from the abstract machine, machines referenced in the \textsc{USES} and \textsc{SEES} clauses,

To these assumptions the following implicit assumptions are added:

- Any abstract set is defined as a non-empty sub-part of the relative integers.
- Any set list formal parameter is defined as a non-empty sub-part of the relative integers.
- Any listed set is defined as the set comprising all of its elements, and the elements are separate two by two.

Using these assumptions, the aim to demonstrate is:

The invariant of the abstract machine and the postcondition of the operation, after applying the substitution that defines the operation.

In Appendix §A.4 we present the mathematical formulation of this same proof obligation.

\textbf{Example:} Considering the following machine:

\begin{verbatim}
MACHINE OP02
VARIABLES v1
INVARIANT v1 ∈ N \land v1 - 1 ∈ N
INITIALISATION v1 : 2
OPERATIONS (ss ∈ N) ← increment ≡
  \text{PRE}
  v1 - 2 ∈ N
  \text{THEN}
  v1, ss := v1 + 1, v1 - 1
END
\end{verbatim}

The proof obligation relating to the correctness of the operation on machine OP02 above must allow us to show that the invariant is preserved and that the postcondition is established. We will therefore obtain:

\begin{align*}
  v1 & \in \mathbb{N} \land \quad \text{“Machine invariant”} \\
  v1 - 1 & \in \mathbb{N} \\
  \Rightarrow & \quad [\text{PRE } v1 - 2 \in \mathbb{N} \text{ THEN } v1, ss := v1 + 1, v1 - 1 \text{ END}](v1 \in \mathbb{N} \land v1 - 1 \in \mathbb{N} \land ss \in \mathbb{N})
\end{align*}

This will be changed, by applying the \textsc{PRE} substitution, into

\begin{align*}
  v1 & \in \mathbb{N} \land \\
  v1 - 1 & \in \mathbb{N} \\
  \Rightarrow & \quad \Rightarrow \quad ([v1, ss := v1 + 1, v1 - 1](v1 \in \mathbb{N} \land v1 - 1 \in \mathbb{N} \land ss \in \mathbb{N}))
\end{align*}
And by applying the substitution:

\[
\begin{align*}
\forall v_1 \in \mathbb{N} \land \\
v_1 - 1 \in \mathbb{N} \quad &\Rightarrow \quad v_1 - 2 \in \mathbb{N} \Rightarrow (v_1 + 1 \in \mathbb{N} \land v_1 + 1 - 1 \in \mathbb{N} \land v_1 - 1 \in \mathbb{N})
\end{align*}
\]

Which is logically equivalent to

\[
\begin{align*}
\forall v_1 \in \mathbb{N} \land \\
v_1 - 1 \in \mathbb{N} \land \\
v_1 - 2 \in \mathbb{N} \quad &\Rightarrow \quad v_1 + 1 \in \mathbb{N} \land v_1 + 1 - 1 \in \mathbb{N} \land v_1 - 1 \in \mathbb{N}
\end{align*}
\]
Chapter 4

Correctness of the Refinement

The correctness of the INCLUDES clauses and the proof of the assertions leads to proof obligations similar to those in abstract machines.

As for abstract machines, correctness of the initialisation and the refinement operations is established by establishing and preserving the invariant. However, the invariant of a refinement is a link invariant that defines the properties of new variables in relation to variables of the refined component. It is no longer adequate to apply a substitution to the invariant, it is necessary to apply the substitution of the refinement and the substitution of the refined component in combination, according to the double negation formula (see below). It is then clear that correcting a refinement is not just a simple internal coherence check, but an assurance that the refinement was developed in accordance with the specification.

In all of the proof obligations described in this section, the properties of the constants of previous refinements and of the abstract machine comprise properties of constants that are specific to the component and the properties of the constants of the machines referenced in the INCLUDES clause. In the same way, the invariants of previous refinements and of the abstract machine are made up of specific invariants and instanced invariants of machines referenced in the INCLUDES clause.

4.1 Correctness of Inclusions

Presentation:
In the same way as for abstract machines, the inclusion of machines in B is correct once the constraints of the formal parameters of the included machine are checked by the effective parameters specified when instancing is done.

The instancing of machines referenced in the INCLUDES clause may be performed from:

- sets and constants in the vertical development and the machines referenced in the SEES clauses,
- formal parameters for the abstract machine.

The properties of these entities are specified in the PROPERTIES clauses of the corresponding machine, and in the CONSTRAINTS clause of the abstract machine. These
clauses must therefore be present in the assumptions relating the correctness of inclusions.
Description of the proof obligation:
The proof obligation that defines the correctness of an inclusion, to be demonstrated for each inclusion of the refinement, is formed from the following assumptions:

- Constraints of the parameters of the abstract machine.
- Properties of the constants of the vertical development and of machines referenced in the `SEES` clause.

To these assumptions are added the following implicit assumptions:

- Any abstract set is defined as a non-empty sub-part of relative integers.
- Any formal set list parameter is defined as a non-empty sub-part of relative integers.
- Any listed set is defined as the set comprising all of its elements, and the elements are distinct, two by two.

For these assumptions, the aim is to prove:

The constraint (instanced) of the included machine.

Appendix §B.1 presents the mathematical formulation of this particular proof obligation.

Example: Considering the following components:

```plaintext
MACHINE MAIN(param10)  
CONSTRAINTS  
  param10 < 10  
END

REFINEMENT MAIN_1(param10)  
REFINES  
  MAIN  
CONSTANTS  
  vscal  
PROPERTIES  
  vscal ∈ ℕ  ∧  
  vscal < param10 / 2  
INCLUDES  
  COUNT(vscal)  
END

MACHINE COUNT(par)  
CONSTRAINTS  
  par < 15  
VARIABLES  
  tab  
INVARIANT  
  tab ∈ (1 . . . par) → BOOL  
END
```

The proof obligation relating to the inclusion of the COUNT machine in the MAIN_1 refinement below must allow establishing that the COUNT constraint is indeed checked by the instancing provided. This will show:

\[
\begin{align*}
  \text{param10} &< 10 \land \\
  \text{vscal} &< \text{param10}/2 \land \\
  \text{vscal} &\in \mathbb{N} \land \\
  \Rightarrow \\
  [\text{par} : \text{vscal}] &([\text{par} < 15)  
\end{align*}
\]

“Parameter constraints for the abstract machine”

“Properties of the refinement”

“Constraints of COUNT and its instancing”
This becomes, by applying the substitution:

\[
\begin{align*}
\text{param10} & < 10 \wedge \\
\text{vscal} & < \frac{\text{param10}}{2} \wedge \\
\text{vscal} & \in \mathbb{N} \\
\Rightarrow & \\
\text{vscal} & < 15
\end{align*}
\]

4.2 Correctness of Assertions

**Presentation:**

Assertions are lemmas for the proof phases. These predicates will be added (by conjunction) as an assumption for the proof obligations, each time the invariant is present in these assumptions.

Refinement assertions must be proven from the invariant and the properties of the entities handled: refinement variables, concrete variables from the vertical development, variables from the machines referenced in the `INCLUDES` clause, constants and sets.

The order of the assertions in the text of the B specification is Important, as the assertions are proven in sequence, by adding the previous assertions already proven as assumptions.

**Description of the proof obligation:**

The proof obligation that defines the correctness of the assertions to be proved for each assertion in the order, is formed from the following assumptions:

- Constraints of the abstract machine parameters,
- Properties of the vertical development constants for machines referenced in the `SEES` and `INCLUDES` clauses.
- Invariants and assertions for machines referenced in the `INCLUDES` clause, that the corresponding instancing was applied to.
- Invariants from the vertical development.
- Assertions from previous refinements and from the abstract machine.
- Previous assertions (in the order of the text) from the refinement.

To these assumptions are added the following implicit assumptions:

- Any abstract set is defined as a non-empty sub-part of the relative integers.
- Any formal set list parameter is defined as a non-empty sub-part of the relative integers.
- Any listed set is defined as a set comprising all of its elements and the elements taken two by two are distinct.
Based on these assumptions, the purpose to prove is:

the assertion.

Appendix § B.2 presents the mathematical formulation of this proof obligation.

**Example:** Considering the following components:

<table>
<thead>
<tr>
<th>MACHINE</th>
<th>REFINEMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td>EXAM</td>
<td>EXAM_1</td>
</tr>
<tr>
<td>VARIABLES</td>
<td>VARIABLES</td>
</tr>
<tr>
<td>xx, yy</td>
<td>zz</td>
</tr>
<tr>
<td>INARIANT</td>
<td>INARIANT</td>
</tr>
<tr>
<td>xx &lt; 0 ∧ yy &gt; 10 ∧</td>
<td>zz ∈ Z ∧ zz * yy &lt; xx</td>
</tr>
<tr>
<td>END</td>
<td>ASSERTIONS</td>
</tr>
<tr>
<td></td>
<td>zz &lt; 0</td>
</tr>
</tbody>
</table>

The proof obligation relating to the assertion is as follows:

\[
xx < 0 ∧ \text{“Machine invariant”} \\
yy > 10 ∧ \text{“Refinement invariant”} \\
zz ∈ Z ∧ zz * yy < xx \\
\Rightarrow \text{ “Refinement assertion”}
\]

4.3 Correctness of the Initialisation

**Presentation:**

The initialisation of a refinement is correct when it establishes the refinement invariant, *without contradicting the initialisation of the refined component*. This definition means that the new initialisation must not produce exactly the same results as the previous one but should rather ensure that the new initialisation values should not be in contradiction with the previous ones. Generally this means that the domain of the values of common variables may be restricted.

For example, if the initialisation of a \(vv\) variable in the abstract machine specifies an initial value in the 1..3 range, the initialisation of the refinement may assign the initial value 1 to \(vv\).

When an abstract variable is not retained in the refinement, it is generally refined via the link invariant into a new variable. In this case, the initialisation of the new variable must be performed without contradicting the initialisation of the abstract variable.

For example, the initialisation of an abstract variable SS is the empty set \(\emptyset\), and the link invariant specifies that the new variable num is the cardinal of SS, then the initialisation of num is 0.
The aim to prove is built from the invariant: to the contraposition of this invariant is applied the initialisation of the refined component (especially to initialize the abstract variables, in conjunction with the concrete variables); then, on the contraposition of the predicate obtained, we will apply the initialisation of the refinement.

The initialisation of the refinement may be specified from:

- sets and constants from the vertical development, and machines referenced in the SEES and INCLUDES clauses,
- abstract machine formal parameters,
- variables from machines referenced in the INCLUDES clause,
- concrete variables and operations for consulting machines referenced in the SEES clause.

The definitions and properties of the parameters and constants are specified in the PROPERTIES and CONSTRAINTS clauses for the corresponding abstract machines. These different clauses are therefore found in the assumptions relating to the correctness of the initialisation. The definitions and properties of the variables are specified in the clauses INARIANT and ASSERTIONS clauses of the corresponding abstract components, this is why they are also in the assumptions.

**Description of the proof obligation:**

The proof obligation that defines the correctness of the initialisation contains the following assumptions:

- Abstract machine constraint,
- Properties of vertical development constants, and machines referenced in the SEES and INCLUDES clauses,
- Invariants and assertions for all machines referenced in the INCLUDES clause, to which the corresponding instancing was applied.
- Invariants and assertions for machines referenced in the SEES clause.

To these assumptions are added the following implicit assumptions:

- Any abstract set is defined as a non-empty sub-part of the relative integers.
- Any set list formal parameter is defined as a non-empty sub-part of the relative integers.
- Any listed set is defined as the set made up of all of its elements, and the elements are distinct two by two.

Taking these assumptions, the aim is to show:

The refinement invariant, after contraposition, then the application of the initialisation of the refined component, contraposition, then application of the initialisation of the refinement.
In Appendix § B.3, we will present the mathematical formulation of this same proof obligation.

**Example:** Taking the following components:

<table>
<thead>
<tr>
<th>MACHINE</th>
<th>REFINEMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td>OP01</td>
<td>OP01_1</td>
</tr>
<tr>
<td>VARIABLES</td>
<td>VARIABLES</td>
</tr>
<tr>
<td>v1</td>
<td>v2</td>
</tr>
<tr>
<td>INVARIANT</td>
<td>INVARIANT</td>
</tr>
<tr>
<td>v1 ∈ 0..10</td>
<td>v2 ∈ 2 * v1</td>
</tr>
<tr>
<td>INITIALISATION</td>
<td>INITIALISATION</td>
</tr>
</tbody>
</table>
| \begin{align*}
\text{ANY value WHERE} & \text{value} \in 1..5 \\
\text{THEN} & v1 : \text{value} \\
\text{END} & \end{align*}
| \begin{align*}
\text{v2} & \not= 2 * \text{v1} \\
\text{END} & \end{align*}|

The proof obligation relating to the correctness of the initialisation of refinement OP01_1 above is built as follows: the contraposition of the invariant is:

\[ v2 \not= 2 * v1 \]

The initialisation of the refined component, applied to this predicate is

\[ \{\text{ANY value WHERE} \text{value} \in 1..5 \text{THEN} v1 : \text{value} \text{END}\}(v2 \not= 2 * v1) \]

Which becomes, by applying the substitution ANY:

\[ \forall \text{value}. (\text{value} \in 1..5 \Rightarrow v2 \not= 2 * \text{value}) \]

The contraposition of the latter predicate is

\[ \exists \text{value}. (\text{value} \in 1..5 \land v2 \not= 2 * \text{value}) \]

The application of the refinement initialisation allows us to instance v2 with 2, so that the proof obligation to be proven will then be obtained

\[ \Rightarrow \exists \text{value}. (\text{value} \in 1..5 \land 2 * \text{value}) \]

### 4.4 Correctness of Operations

**Presentation:**

Each refinement operation is a new (more solid) version of a previously specified operation. The headings of the two operations are identical, only the generalized substitution that defines the effect of the operation is modified.

A refinement operation is *correct* when it preserves the invariant *without contradicting the specified operation*, and when its precondition is less restrictive than the specified precondition.
It should be understood by this definition, that the new operation must not produce exactly the same results as the previous one, but rather that the effects of the new operation must not be in contradiction with the effects specified in the abstract operation. The aim of the proof obligation will therefore be built around a double negative.

This definition means that the range of output values for the operation’s output variables may be restricted.

For example, if the abstract operation returns a value in the range of 1..10, then the new operation may return a value in the range 2..4.

As for operations on abstract machines, the aim to prove is based on the component invariant; in the case of refinements an equals predicate is added (by conjunction), between the output variables from the refinement operation, and the renamed output variables from the specified operation. The aim to prove will then comprise

- the application of the refined operation to the negation of the application of the abstract operation to the negation of the invariant.

The example below will clarify this complex definition.

The substitution that defines an operation may be specified from:

- sets and constants in the vertical development, and the machines referenced in the INCLUDES and SEES clauses,
- formal parameters of the abstract machine,
- concrete variables from the vertical development,
- variables for machines referenced in the INCLUDES clause,
- concrete variables and operations for accessing machines referenced in the SEES clause.

The definitions and properties of the parameters and constants are specified in the PROPERTIES and CONSTRAINTS clauses of the corresponding operation. The different clauses are therefore in the assumptions relating to operation correctness. The definitions and properties of the variables are specified in the INVARIANT and ASSERTIONS clauses of the corresponding components, this is why they are also stated as assumptions.

**Description of the proof obligation:**

The proof obligation that defines the correctness of an operation, to be proven for each operation, contains the following assumptions:

- Parameter constraints from the abstract machine,
- Properties of the vertical development constants, and of machines referenced in the SEES and INCLUDES clauses,
- Invariants and assertions for machines referenced in the INCLUDES clause, to which the corresponding instance assignment was applied,
• Invariants and assertions from the vertical development and the machines referenced in the SEES clause,

• Precondition of the operation in the abstract machine.

To these assumptions are added the following implicit assumptions:

• Any abstract set is defined as a non-empty sub-part of the relative integers.

• Any formal set list of parameters is defined as a non-empty sub-part of the relative integers.

• Any listed set is defined as a set made up of all of its elements, and the elements are distinct taken two by two.

Given these assumptions, the aim to prove is:

the refinement operation applied to the negation of the application of the abstract operation on the negation of the invariant.

Appendix § B.4 presents the mathematical formulation of this same proof obligation.

Example: Given the following components:

```plaintext
MACHINE
  OP01
VARIABLES
  v1
INVARIANT
  v1 ∈ ℕ
INITIALISATION
  v1 : 0
OPERATIONS
  /* The operation returns the new value of v1, that is greater than the previous one */
  out ← valv1 ≡
  BEGIN
    ANY value WHERE
      value > v1
    THEN
      out, v1 : value, value
    END
  END
END
```
The first operation \texttt{valv1} returns values that are always larger. The second operation always returns the (arithmetical) successor of the previous return. The proof obligation must therefore be able to show that the arithmetical successor is in fact a larger value than the previous one.

The proof obligation relative to the correctness of operation \texttt{valv1} on the OP01_1 refinement above is built as follows: The invariant in conjunction with the predicate of equality between the operation output parameter in OP01 and the operation output parameter in OP01_1 is:

\[ v_2 > v_1 \land \text{out} = \text{out} ' \]

This predicate shows what must always be true.

The contraposition of this formula is

\[ v_2 \leq v_1 \lor \text{out} \neq \text{out} ' \]

The predicate shows what must never occur.

The refined component operation (the abstract machine in this case), applied to this predicate is

\[ \forall \text{value} . (\text{value} > v_1 \Rightarrow (v_2 \leq \text{value} \lor \text{value} \neq \text{out} ')) \]

This becomes, by applying \texttt{any} and : substitutions:

\[ \forall \text{value} . (\text{value} > v_1 \Rightarrow (v_2 \leq \text{value} \lor \text{value} \neq \text{out} ')) \]

The predicate shows the effect of the specified operation on what must never happen.

The contraposition of this latter predicate is

\[ \exists \text{value} . (\text{value} > v_1 \land (v_2 > \text{value} \land \text{value} > \text{out} ')) \]

The “value out” equation allows us to logically simplify this predicate to become

\[ \text{out} ' > v_1 \land v_2 > \text{out} ' \]
This predicate represents what is never established by the operation specified on what must never occur.

The application of the refinement operation allows us to instance \( out' \) with \( v2 \) and \( v2 \) with \( v2+1 \), this will give the following proof obligation:

\[
\begin{align*}
v1 & \in \mathbb{N} \land \\
v2 & > v1 \\
\Rightarrow & \\
v2 & > v1 \land v2 + 1 > v2
\end{align*}
\]

Note that \( v1 \) is the value returned by the previous call to the operation, \( v2 \) is the value that will be sent back by the current call and \( v2+1 \) is the value that will be sent back by the next call. There is in fact between these three values, an order relationship specified in operation \texttt{valv1} on the abstract machine.
Chapter 5

Correctness of the Implementation

As when correcting a refinement, correcting an implementation is not just a simple check on internal coherence, but the insurance that the implementation was developed in accordance with the specification. The proof obligations relating to the correctness of implementations are similar to those for refinements, to which are added the proof obligation on the existence of refineable constants.

In the proof obligations described in this section, the properties of constants of previous refinements and of the abstract machine are made up of properties of constants that are specific to each of the components and of properties of constants of the machine referenced in the INCLUDES clause by its components. In the same way, the invariants of the previous refinements and of the abstract machine are made up of specific invariants and of instanced invariants of machines referenced in the INCLUDES clause.

5.1 Correctness of Imports

Presentation:
In the same way as an inclusion in abstract machines, importing machines into B is correct when the parameter constraints on the formal parameters of the imported machine are checked by the effective parameters specified when the instances are set.

The instance setting for machines referenced in the IMPORTS clause may be performed from:

- sets and constants from the vertical development and from machines referenced in the SEES clause,
- formal parameters of the abstract machine.

The properties of these entities are specified in the PROPERTIES clauses on the corresponding components and in the CONSTRAINTS clause of the abstract machine. These clauses must therefore be demonstrated within the assumptions relating to correcting imports.

Description of the proof obligation:
The proof obligation that defines the correctness of an importation, to be proven for each implementation import, is made up of the following assumptions:
• Abstract machine parameter constraints.

• Properties of the constants from the vertical development and of the machines referenced in the `SEES` clause.

To these assumptions are added the following implicit assumptions:

• Any abstract set is defined as a non-empty sub-set of relative integers.

• Any formal set list parameter is defined as a non-empty sub-set of relative integers.

• Any listed set is defined as the set comprising all of these elements, and the elements are distinct two by two.

Using these assumptions, the aim to prove is:

The (instanced) constraint of the imported machine.

Appendix § [C.1] the mathematical formulation of this same proof obligation.

5.2 Correctness of Valuations

Presentation:
The valuation of constants and abstract sets defined in the abstract machine, in the refinements and in the implementation must verify the properties of the various entities. The valuation of the constants and sets may be performed from:

• sets and constants of machines referenced in the `IMPORTS` and `SEES` clauses.

The properties of these entities are specified in the `PROPERTIES` clauses of the machines referenced in the `IMPORTS` and `SEES` clauses. These clauses must therefore be present in the assumptions relating to correcting valuations.

Description of the proof obligation:
The proof obligation relating to the correctness of constants contains the following assumptions:

• Properties of the constants of machines referenced in the `SEES` clause,

• Properties of constants of machines referenced in the `IMPORTS` clause.

To these assumptions are added the following implicit assumptions:

• Any abstract set is defined as a non-empty sub-part of the relative integers.

• Any listed set is defined as the set made up of all of its elements, and with elements that are distinct two by two.

Given these assumptions, the aim to prove is:
The existence of constants that may be refined for the conjunction of properties of abstract machine constants, refinements and the implantation to which the valuation substitution was applied.

Appendix §[C.2] presents the mathematical formulation of this same proof obligation.

**Example:** Taking the following components:

```
MACHINE
  OP01
CONSTANTS
  S1, c1
ABSTRACT_CONSTANTS
  c2
PROPERTIES
  c1 ∈ 0..10 ∧
  c2 ∈ S1
END

IMPLEMENTATION
  OP01_1
  REFINES
    OP01
VALUES
  S1 1..5 ;
  c1 0
END
```

The proof obligation relating to the correctness of the valuation is:

\[
\Rightarrow \exists c2 . [S1, c1 : 1..5, 0](c1 ∈ 0..10 ∧ c2 ∈ S1)
\]

By applying the substitution we will obtain:

\[
\Rightarrow \exists c2 . (0 ∈ 0..10 ∧ c2 ∈ 1..5)
\]

### 5.3 Correctness of Assertions

**Presentation:**

The implementation assertions must be proven from the invariant and the properties of the entities handled: local variables, abstract variables, variables of machines referenced in the `IMPORTS` and `SEES` clauses, constants and sets.

**Description of the proof obligation:**

The proof obligations that define the correctness of an assertion, to show that for each assertion in the order of text, is formed from the following assumptions:

- Constraints for abstract machine parameters,
- Properties of vertical development constraints and of machines referenced in the `SEES` and `IMPORTS` clauses.
- Invariants and assertions for machines referenced in the `IMPORTS` clause, to which the corresponding instancing was applied.
- Invariant in vertical development.
- Assertions on previous refinements and for the abstract machine.
- Previous assertions (in the order of the text) in the implementation.
To these assumptions are added the following implicit assumptions:

- Any abstract set is defined as a non-empty sub-part of the relative integers.
- Any set list formal parameter is defined as a non-empty sub-part of the relative integers.
- Any listed set is defined as the set made up of all of its elements, and the elements are distinct two by two.

Based on these assumptions, the aim to prove is:

the assertion.

Appendix § C.3 presents the mathematical formulation of these same proof obligations.

### 5.4 Correctness of the Initialisation

**Presentation:**

The initialisation of an implementation is *correct* when it establishes the invariant of the implementation, *without contradicting the initialisation of the implemented component*. This definition must be taken to mean that the new initialisation must not produce exactly the same results as the previous one, but rather that the new initialisation values must not contradict the previous ones. Generally this means that the value fields of the common variables (the “concrete variables” in any vertical development) may be restricted.

The aim to prove is built from the invariant: to the contraposition of this invariant is applied the initialisation of the refined component (especially to initialize abstract variables, in accordance with the concrete variables); then on the contraposition of the predicate obtained, will be applied the initialisation of the implementation.

The initialisation of the implementation may be specified from:

- sets and constants from the vertical development and the machines referenced in the IMPORTS and SEES clauses,
- formal parameters of the abstract machine,
- variables from the machines referenced in the IMPORTS clause,
- concrete variables and access operations on the machines referenced in the SEES clause.

The definitions and properties of the parameters and constants are specified in the PROPERTIES and CONSTRAINTS clauses of the corresponding abstract machines. These different clauses are therefore present in the assumptions relating to initialisation correctness. The definitions and properties of the variables are specified in the INVARIANT and ASSERTIONS clauses of the corresponding abstract machines, this is why they are also present in the assumptions.
Description of the proof obligation:
The proof obligation that defines the correctness of the initialisation contains the following assumptions:

- Abstract machine parameter constraints,

- Properties of vertical development constants, and of machines referenced in the `SEES` and `IMPORTS` clauses.

- Invariants and assertions for machines referenced in the `IMPORTS` clauses, to which the corresponding instancing was applied.

- Invariants and assertions of machines referenced in the `SEES` clause.

To these assumptions are added the following implicit assumptions:

- Any abstract set is defined as a non-empty sub-part of the relative integers.

- Any set list formal parameter is defined as a non-empty sub-part of the relative integers

- Any listed set is defined as the set comprising all of its elements, and the elements are distinct taken two by two.

Given these assumptions, the aim to prove is:

The implementation invariant, after contraposition, then the application of the initialisation of the refined component, contraposition, then the application of implementation initialisation.

Appendix §C.4 presents the mathematical formulation of this proof obligation.

Example: Taking the following components:

```plaintext
MACHINE OP01
VARIABLES v1
INVARIANT v1 ∈ 0..10
INITIALISATION v1 : ∈ 1..5
END

IMPLEMENTATION OP01_i
MACHINE OP01
VARIABLES v2
INVARIANT v2 2 * v1
INITIALISATION v2 : 2
END
```

The proof obligation relating to the correctness of the initialisation of implementation `OP01_i` above is built as follows: the contraposition of the link invariant is:

\[ v2 \neq 2 \ast v1 \]
The initialisation of the specification, applied to this predicate is

\[ [v1 : \in 1 \ldots 5](v2 \neq 2 \ast v1) \]

Which becomes, by applying the substitution \( :\in \):

\[ \forall v1_0 . (v1_0 \in 1 \ldots 5 \implies v2 \neq 2 \ast v1_0) \]

The contraposition of the latter predicate is

\[ \exists v1_0 . (v1_0 \in 1 \ldots 5 \land v2 \ast v1_0) \]

The application of the refinement initialisation allows setting instance v2 with 2, which will give the proof obligation

\[ \Rightarrow \exists v1_0 . (v1_0 \in 1 \ldots 5 \land 2 \ast v1_0) \]

### 5.5 Correctness of Operations

**Presentation:** Each implementation operation is a new (concrete) version of a previously specified operation. The headings of the two operations are identical, only the generalized substitution that defines the effect of the operation is modified.

An implementation operation is *correct* when it preserves the invariant *without contradicting the refined operation*.

This definition should be understood to mean that the new operation must not produce exactly the same results as the previous one, but rather that the effects of the new operation must not contradict the effects specified in the abstract operation. The aim of the proof obligation will therefore be built around a double negative.

As for refinement operations, the aim to prove is based on the implementation (link) invariant in conjunction with an equals predicate between the implementation refinement operation output variables and the specified operation’s renamed output variables. The aim to prove will then comprise

- the application of the implementation operation up to the negation of the application of the abstract operation, or up to the negation of the invariant.

The substitution that defines an operation may be specified from:

- sets and constants from the vertical development and machines referenced in the **IMPORTS** and **SEES** clauses,
- abstract machine formal parameters,
- concrete variables (**only**) taken from the vertical development and the machines referenced in the **IMPORTS** and **SEES** clauses.
The definitions and properties of the parameters and constants are specified in the **Properties** and **Constraints** clauses of the corresponding components. These clauses are therefore present in the assumptions relating to operation correctness. The definitions and properties of the variables are specified in the **Invariant** and **Assertions** clauses of the corresponding clauses, this is why they are also in the assumptions.

**Description of the proof obligation:**
The proof obligation that defines the correctness of an operation, to be proven for each operation, contains the following assumptions:

- Abstract machine parameter constraints,
- Properties of the vertical development constants and of the machines referenced in the **Sees** and **Imports** clauses,
- Invariants and assertions of machines referenced in the **Imports** clause, to which the corresponding instancing was applied,
- Invariants and assertions from the vertical development, and machines referenced in the **Sees** clause,
- Precondition of the operation in the abstract machine.

To these assumptions are added the following implicit assumptions:

- All abstract sets are defined as a non-empty sub-part of the relative integers.
- Any set list formal parameter is defined as a non-empty sub-part of the relative integers.
- Any listed set is defined as the set comprising all of its elements, and the elements are distinct two by two.

Based on these assumptions, the aim to prove is:

the implementation operation applied to the negation of the application of the abstract operation to the negation of the invariant.

Appendix §C.5 presents the mathematical formulation of this same proof obligation.

### 5.6 Correctness of Specifications of Local Operations

**Presentation:** Local operations can be used in an implementation in order to factorise B code. Local operations are both specified and implemented in the same implementation and can only be used within this implementation.

A specification of a local operation is *correct* when it preserves the invariants of the machines imported by the implementation, and establishes its postcondition.
On the one hand, a local operation can modify directly the variables of an imported machine, hence this proof obligation. On the other hand, a local operation need not preserve the implementation invariant.

Description of the proof obligation:
The proof obligation relating to the correctness of the specification of a local operation, to be demonstrated for every local operation, contains the following hypothesis:

- Abstract machine parameter constraints,
- Properties of the vertical development constants and of the machines referenced in the `SEES` and `IMPORTS` clauses,
- Invariants and assertions of machines referenced in the `IMPORTS` clause, to which the corresponding instancing was applied,
- Invariants and assertions from the machines referenced in the `SEES` clause,
- B typing of the implementation concrete variables,
- Precondition of the specification of the local operation,

To these assumptions are added the following implicit assumptions:

- Every abstract set is defined as a non-empty sub-part of the relative integers.
- Every set formal parameter is defined as a non-empty sub-part of the relative integers.
- Every enumerated set is defined as the set comprising all of its elements, and the elements are distinct two by two.

Based on these assumptions, the aim to prove is:

The body of the local operation specification, without its possible precondition, preserves the invariants of imported machines and establishes its postcondition.

Appendix § C.6 gives the mathematical formulation of this proof obligation.

5.7 Correctness of Implementations of Local Operations

Présentation : The local operation specified in the `LOCAL_OPERATIONS` clause are implemented in the `OPERATIONS` clause of the same implementation.

An implementation of a local operation is correct when it preserves the equality predicates between the modifiable variables of the local operation specification and these same variables in the local operation implementation, without contradicting the local operation specification.
Description of the proof obligation:
The proof obligation relating to the correctness of the implementation of a local operation, to be demonstrated for every local operation, contains the following hypothesis:

- Abstract machine parameter constraints,
- Properties of the vertical development constants and of the machines referenced in the SEES and IMPORTS clauses,
- Invariants and assertions of machines referenced in the IMPORTS clause, to which the corresponding instancing was applied,
- Invariants and assertions from the machines referenced in the SEES clause,
- B typing of the implementation concrete variables,
- Precondition of the specification of the local operation,

To these assumptions are added the following implicit assumptions:

- Every abstract set is defined as a non-empty sub-part of the relative integers.
- Every set formal parameter is defined as a non-empty sub-part of the relative integers.
- Every enumerated set is defined as the set comprising all of its elements, and the elements are distinct two by two.

Based on these assumptions, the aim to prove is:

The implementation of the local operation applied to the negation of the application of the specification of the local operation to the negation of the implicit invariant of equality for the variables of the implementation and imported machines, and for the output parameters of the local operation.

Appendix §[C.7] presents the mathematical formulation of this proof obligation.
Appendix
Appendix A

Abstract Machine Proof Obligations

The following machines introduce the naming conventions that will be used to describe the proof obligations linked to machine M1:
**MACHINE**

\[ M_1(X_1,x_1) \]

**CONSTRAINTS**

\[ C_1 \]

**SEES**

\[ M_1 \]

**SETS**

\[ S_1 : \]

\[ T_1 \{a_1, b_1\} \]

**ABSTRACT_CONSTANTS**

\[ a_1, b_1 \]

**CONCRETE_CONSTANTS**

\[ a_1, b_1 \]

**PROPERTIES**

\[ P_1 \]

**INCLUDES**

\[ M_{i1}(N_{i1}, n_{i1}), M_{i2}(N_{i2}, n_{i2}) \]

**USES**

\[ M_u \]

**ABSTRACT_VARIABLES**

\[ a_v_1 \]

**CONCRETE_VARIABLES**

\[ c_v_1 \]

**INVARIANT**

\[ I_1 \land L_1(a_v, c_v) \]

**INITIALISATION**

\[ U_1 \]

**ASSERTIONS**

\[ J_1 \]

**OPERATIONS**

\[ u_1 \leftarrow \text{op}_1(w_1) \]

\[ \text{PRE} \]

\[ Q_{i1} \]

\[ \text{THEN} \]

\[ V_{i1} \]

\[ \text{END} \]

**END**

---

**MACHINE**

\[ M_s(X_s,x_s) \]

**CONSTRAINTS**

\[ C_s \]

**SETS**

\[ S_s : \]

\[ T_s \{a_s, b_s\} \]

**ABSTRACT_CONSTANTS**

\[ a_s, b_s \]

**CONCRETE_CONSTANTS**

\[ a_s, b_s \]

**PROPERTIES**

\[ P_s \]

**USES**

\[ M_u \]

**ABSTRACT_VARIABLES**

\[ a_v_s \]

**CONCRETE_VARIABLES**

\[ c_v_s \]

**INVARIANT**

\[ I_s \]

**INITIALISATION**

\[ U_s \]

**ASSERTIONS**

\[ J_s \]

**OPERATIONS**

\[ u_s \leftarrow \text{op}_s(w_s) \]

\[ \text{PRE} \]

\[ Q_s \]

\[ \text{THEN} \]

\[ V_s \]

\[ \text{END} \]

**END**

---

**MACHINE**

\[ M_u(X_u,x_u) \]

**CONSTRAINTS**

\[ C_u \]

**SETS**

\[ S_u : \]

\[ T_u \{a_u, b_u\} \]

**ABSTRACT_CONSTANTS**

\[ a_u, b_u \]

**CONCRETE_CONSTANTS**

\[ a_u, b_u \]

**PROPERTIES**

\[ P_u \]

**USES**

\[ M_u \]

**ABSTRACT_VARIABLES**

\[ a_v_u \]

**CONCRETE_VARIABLES**

\[ c_v_u \]

**INVARIANT**

\[ I_u \]

**INITIALISATION**

\[ U_u \]

**ASSERTIONS**

\[ J_u \]

**OPERATIONS**

\[ u_u \leftarrow \text{op}_u(w_u) \]

\[ \text{PRE} \]

\[ Q_u \]

\[ \text{THEN} \]

\[ V_u \]

\[ \text{END} \]

**END**
The following notations are used:

\[
A_1 \overset{\text{def}}{=} C_1 \land X_1 \in P_1(INT) \\
B_1 \overset{\text{def}}{=} P_1 \land S_1 \in P_1(INT) \land T_1 \in P_1(INT) \land T_1\{a_1, b_1\} \land a_1 \neq b_1
\]

In the same way for the Mu, Ms, Mi1 and Mi2 machines.
A.1 Inclusion in an Abstract Machine

Formula of the proof obligation:
The proof obligation below must be proven for each included machine (Mi1 and Mi2), this is presented here for Mi1:

\[ A_1 \land \quad \text{"Parameter constraints for components"} \]
\[ A_u \land \quad \text{"Parameter constraints for components used"} \]
\[ B_1 \land \quad \text{"Properties of component constants"} \]
\[ B_u \land \quad \text{"Properties of constants in components used"} \]
\[ B_s \land \quad \text{"Properties of constants in components seen"} \]
\[ \Rightarrow \]
\[ [X_{i_1}, x_{i_1} : N_{i_1}, n_{i_1})C_{i_1} \quad \text{"Instanced constraint of the included machine"} \]

A.2 Assertion in an Abstract Machine

The assertion \( J_1 \) is a succession of predicates that will be written as \( J_{1_1}, J_{1_2}, \ldots, J_{1_k} \).

Proof obligation formula:
The proof obligation below is to be proven for each assertion of \( J_1 \); it is presented here for the \( J_{1_j} \) for the \( 1 \leq j \leq k \) assertion:

\[ A_1 \land \quad \text{"Parameter constraints for components"} \]
\[ A_u \land \quad \text{"Parameter constraints for components used"} \]
\[ B_1 \land \quad \text{"Properties of component constants"} \]
\[ B_u \land \quad \text{"Properties of constants in components used"} \]
\[ B_s \land \quad \text{"Properties of constants in components seen"} \]
\[ B_{i_1} \land B_{i_2} \land \quad \text{"Properties of constants in included components"} \]
\[ [X_{i_1}, x_{i_1} : N_{i_1}, n_{i_1}) (I_{i_1} \land L_{i_1} \land J_{i_1}) \quad \text{"Invariants and assertions"} \]
\[ [X_{i_2}, x_{i_2} : N_{i_2}, n_{i_2}) (I_{i_2} \land L_{i_2} \land J_{i_2}) \quad \text{"of included components"} \]
\[ (I_u \land J_u) \land \quad \text{"Invariants and assertions for components used"} \]
\[ (I_1 \land L_1) \land \quad \text{"Machine invariant"} \]
\[ J_{1_1} \land \cdots \land J_{1_{j-1}} \quad \text{"Previous assertions"} \]
\[ \Rightarrow \]
\[ J_{1_j} \quad \text{"Assertion to prove"} \]
A.3 Initialisation in an Abstract Machine

Mathematical formula of the proof obligation:

\[
A_1 \land \quad \text{”Parameter constraints for components”}
\]

\[
A_u \land \quad \text{”Parameter constraints for components used”}
\]

\[
B_1 \land \quad \text{”Properties of component constants”}
\]

\[
B_u \land \quad \text{”Properties of constants in components used”}
\]

\[
B_s \land \quad \text{”Properties of constants in components seen”}
\]

\[
B_{i1} \land B_{i2} \land \quad \text{”Properties of constants in included components”}
\]

\[
(I_u \land J_u) \land \quad \text{”Invariants and assertions for components used”}
\]

\[
[X_{i1}, x_{i1} : N_{i1}, n_{i1}](I_{i1} \land J_{i1}) \land \quad \text{”Invariants and assertions”}
\]

\[
[X_{i2}, x_{i2} : N_{i2}, n_{i2}](I_{i2} \land J_{i2}) \land \quad \text{”for components included”}
\]

\[
(I_s \land J_s) \quad \text{”Invariants and assertions of seen components”}
\]

\[
\Rightarrow \quad \text{”Invariant after initialisation of machines included for the considered operation”}
\]

\[
[[X_{i1}, x_{i1} : N_{i1}, n_{i1}]U_{i1}; [X_{i2}, x_{i2} : N_{i2}, n_{i2}]U_{i2}; U_1](I_1 \land L_{i1})
\]
A.4 Operations in an Abstract Machine

Mathematical formula of the proof obligation:
Let $D_1$ be the typing predicates of the output parameters $u_1$.

$$A_1 \land \quad \text{“Parameter constraints for components”}$$

$$A_u \land \quad \text{“Parameter constraints for components used”}$$

$$B_1 \land \quad \text{“Properties of component constants”}$$

$$B_u \land \quad \text{“Properties of component constants used”}$$

$$B_s \land \quad \text{“Properties of component constants seen”}$$

$$B_{i_1} \land B_{i_2} \land \quad \text{“Properties of constants in included components”}$$

$$(I_u \land J_u) \land \quad \text{“Invariants and assertions in components used”}$$

$$[X_{i_1}, x_{i_1} : N_{i_1}, n_{i_1}](I_{i_1} \land L_{i_1} \land J_{i_1}) \land \quad \text{“Invariants and assertions”}$$

$$[X_{i_2}, x_{i_2} : N_{i_2}, n_{i_2}](I_{i_2} \land L_{i_2} \land J_{i_2}) \land \quad \text{“of included components”}$$

$$(I_s \land J_s) \land \quad \text{“Invariants and assertions of seen components”}$$

$$(I_1 \land L_1 \land J_1) \land \quad \text{“Machine invariants and assertions”}$$

$$Q_1 \quad \text{“Operation precondition”}$$

$$\Rightarrow$$

$$[V_1](I_1 \land L_{i_1} \land D_1) \quad \text{“Operation applied to the invariant and the postcondition”}$$
Appendix B

Refinement Proof Obligations

The following machines introduce naming conventions that will be used to describe the proof obligations linked to refinement R_n. M_1 is the abstract machine, and R_2, \ldots, R_{n-1} the refinements prior to R_n.
Components M1, R2, ..., Rn-1 respectively include the machines Minc1, Minc2, ..., Mincn-1. No description of these machines will be provided here because they take up too much space. However, the M1incn and M2incn machines included by refinement Rn is describe (especially due to the Li part of the invariant in M1incn).

The abstract machine M1 above is different from machine M1 in Appendix A as it does not contain a USES clause.
The following notations are used:

“Explicit and implicit properties of the machine”

\[ B_1 \overset{\text{def}}{=} B_{inc1} \land P_1 \land S_1 \in \mathbb{P}_1(\text{INT}) \land
T_1 \in \mathbb{P}_1(\text{INT}) \land T_1\{a_1, b_1\} \land a_1 \neq b_1 \]

... 

“Explicit and implicit properties of the previous refinement”

\[ B_{n-1} \overset{\text{def}}{=} B_{incn-1} \land P_{n-1} \land S_{n-1} \in \mathbb{P}_1(\text{INT}) \land
T_{n-1} \in \mathbb{P}_1(\text{INT}) \land T_{n-1}\{a_{n-1}, b_{n-1}\} \land a_{n-1} \neq b_{n-1} \]

“Explicit and implicit properties of the considered refinement”

\[ B_n \overset{\text{def}}{=} P_n \land S_n \in \mathbb{P}_1(\text{INT}) \land T_n \in \mathbb{P}_3(\text{INT}) \land T_n\{a_n, b_n\} \land a_n \neq b_n \]

In addition, in the proof obligation formulas presented below, the invariants \( I_1, \ldots, I_{n-1} \) are formed from the conjunction of the invariant of the corresponding component (\( M_1 \ldots R_{n-1} \)) and possible included instanced invariants.
B.1 Inclusion in a Refinement

Proof obligation formula:
The proof obligation below must be proven for each included machine (Mi1 and Mi2), it is presented here for Mi1:

\[
A_1 \land \quad \text{"Machine parameter constraints"}
\]

\[
B_1 \land \ldots \land B_{n-1} \land \quad \text{"Properties of constants from previous refinements"}
\]

\[
B_n \land \quad \text{"Properties of refinement constants"}
\]

\[
B_s \land \quad \text{"Properties of constants in components seen"}
\]

\[\Rightarrow\]

\[\left[ X_{i_1}, x_{i_1} : N_{i_1}, n_{i_1} \right] C_i \quad \text{"Instanced constraint"}\]

B.2 Assertion in a Refinement

Assertion \(J_n\) is a succession of predicates that are noted \(J_{n_1}, J_{n_2}, \ldots, J_{n_k}\).

Proof obligation formula:
The proof obligation below must be proven for each assertion of \(J_n\):

\[
A_1 \land \quad \text{"Machine parameter constraints"}
\]

\[
B_1 \land \ldots \land B_{n-1} \land \quad \text{"Properties of constants from previous refinements"}
\]

\[
B_n \land \quad \text{"Properties of refinement constants"}
\]

\[
B_s \land \quad \text{"Properties of constants of components seen"}
\]

\[
B_{i_1} \land B_{i_2} \land \quad \text{"Properties of constants in included components"}
\]

\[
\left[ X_{i_1}, x_{i_1} : N_{i_1}, n_{i_1} \right] (I_{i_1} \land L_{i_1} \land J_{i_1}) \land \quad \text{"Invariants and assertions"}
\]

\[
\left[ X_{i_2}, x_{i_2} : N_{i_2}, n_{i_2} \right] (I_{i_2} \land J_{i_2}) \land \quad \text{"of included components"}
\]

\[
(I_1 \land J_1) \land \ldots \land (I_{n-1} \land J_{n-1}) \land \quad \text{"Invariants and assertions of previous refinements"}
\]

\[
I_n \land \quad \text{"Refinement invariant"}
\]

\[
J_{n_1} \land \ldots \land J_{n_{j-1}} \land \quad \text{"Previous assertions"}
\]

\[\Rightarrow\]

\[
J_{n_j} \quad \text{"Assertions to prove"}
\]
B.3 Initialisation in a Refinement

Mathematical formula of the proof obligation:

\[\begin{align*}
A_1 & \wedge \text{“Machine parameter constraints”} \\
B_1 & \wedge \ldots \wedge B_{n-1} \wedge \text{“Properties of constants of previous refinements”} \\
B_n & \wedge \text{“Properties of refinement constants”} \\
B_s & \wedge \text{“Properties of constants of components seen”} \\
B_i & \wedge B_{i_2} \wedge \text{“Properties of constants of components included”} \\
[X_{i_1}, x_{i_1} : N_{i_1}, n_{i_1}] & (I_{i_1} \wedge L_{i_1} \wedge J_{i_1}) \wedge \text{“Invariants and assertions”} \\
[X_{i_2}, x_{i_2} : N_{i_2}, n_{i_2}] & (I_{i_2} \wedge J_{i_2}) \wedge \text{“of included components”} \\
I_s & \wedge J_s \wedge \text{“Invariants and assertions of components seen”} \\
\Rightarrow & \text{“Initialisation of included components, then of the refinement applied to the negation of”} \\
& \text{“the application of the refined initialisation applied to the negation of the invariant”} \\
& \llbracket X_{i_1}, x_{i_1} : N_{i_1}, n_{i_1} \rrbracket \llbracket U_{i_1} \rrbracket ; \llbracket X_{i_2}, x_{i_2} : N_{i_2}, n_{i_2} \rrbracket \llbracket U_{i_2} \rrbracket ; U_n \rrbracket \neg [U_{n-1}] \neg (I_0 \wedge L_1) \\
\end{align*}\]

B.4 Operations in a Refinement

Mathematical formula of the proof obligation:

\[\begin{align*}
A_1 & \wedge \text{“Machine parameter constraints”} \\
B_1 & \wedge \ldots \wedge B_{n-1} \wedge \text{“Properties of constants of previous refinements”} \\
B_n & \wedge \text{“Properties of refinement constants”} \\
B_s & \wedge \text{“Properties of constants of components seen”} \\
B_i & \wedge B_{i_2} \wedge \text{“Properties of constants of components included”} \\
[X_{i_1}, x_{i_1} : N_{i_1}, n_{i_1}] & (I_{i_1} \wedge L_{i_1} \wedge J_{i_1}) \wedge \text{“Invariants and assertions”} \\
[X_{i_2}, x_{i_2} : N_{i_2}, n_{i_2}] & (I_{i_2} \wedge J_{i_2}) \wedge \text{“of included components”} \\
I_s & \wedge J_s \wedge \text{“Invariants and assertions of components seen”} \\
(I_1 \wedge J_1) & \wedge \ldots \wedge (I_n \wedge J_n) \wedge \text{“Invariants and assertions of the vertical development”} \\
Q_1 & \text{“Precondition of the abstract operation”} \\
\Rightarrow & \text{“Precondition of the refinement operation”} \\
Q_n & \wedge \text{“Refinement operation applied to the negation of the specified operation”} \\
& \text{“applied to the negation of the invariant”} \\
& \llbracket u_1 : u'_1 \rrbracket V_n \neg [V_{n-1}] \neg (I_n \wedge u_1 u'_1) \\
\end{align*}\]
Remark on this proof obligation: in order to check that the result of the refinement operation is the same as the result of the previous refinement operation, a predicate $u_1u'_1$ is added to the invariant $I_n$. $u_1$ will take as its value the result of operation $V_{n-1}$; using the substitution $[u_1 : u'_1]$ applied to $V_n$, $u'_1$ will take as its value the result of operation $V_n$. 
Appendix C

Implementation Proof Obligations

The following machines introduce the naming convention that will be used to describe the proof obligations linked to the Mn implementation. M1 is the notation for the abstract machine, and R2, . . ., Rn-1 the refinements prior to Mn. These components are an inclusion of machines Mincl, Minc2, . . ., Mincn-1 respectively.
In this section, we will use the following notation:

“There explicit and implicit properties of the machine”
\[ B_1 \overset{\text{def}}{=} B_{\text{incl}} \land P_1 \land S_1 \in \mathbb{P}_1(\text{INT}) \land T_1 \in \mathbb{P}_1(\text{INT}) \land T_1 \{a_1, b_1\} \land a_1 \neq b_1 \]

“Explicit and implicit properties of the refined component”
\[ B_{n-1} \overset{\text{def}}{=} B_{\text{inclr}} \land P_n \land S_n \in \mathbb{P}_1(\text{INT}) \land T_{n-1} \in \mathbb{P}_1(\text{INT}) \land T_{n-1} \{a_n, b_n\} \land a_n \neq b_n \]

“Explicit and implicit properties of the implementation”
\[ B_n \overset{\text{def}}{=} P_n \land S_n \in \mathbb{P}_1(\text{INT}) \land T_n \in \mathbb{P}_1(\text{INT}) \land T_n \{a_n, b_n\} \land a_n \neq b_n \]
C.1 Importing into an Implementation

Proof obligation formula:

\[ \begin{align*}
& \quad A_1 \land \quad \text{“Machine parameter constraints”} \\
& B_1 \land \ldots \land B_n \land \quad \text{“Vertical development properties”} \\
& B_s \quad \text{“Properties of constants and components seen”} \\
\Rightarrow \\
& [X_i, x_1 : N_i, n_i]C_i \quad \text{“Instanced constraint of the imported machine”}
\end{align*} \]

C.2 Valuation in an Implementation

Proof obligation formula:

\[ \begin{align*}
& \quad B_s \land \quad \text{“Properties of constants of components seen”} \\
& B_i \quad \text{“Properties of constants of components imported”} \\
\Rightarrow \\
& \quad \text{“Valuing constants applied to properties”} \\
& \exists (a_1, \ldots, a_{n-1}) \cdot [S_1 := E_1; cc_1 := d_1; \ldots; S_n := E_n; cc_n := d_n](B_1 \land \ldots \land B_n) \]
C.3 Assertion in an Implementation

The assertion $J_n$ is a succession of predicates that will be noted $J_{n_1}, J_{n_2}, \ldots, J_{n_k}$.

**Proof obligation formula:**

The proof obligation below must be proven for each assertion of $J_n$:

$$
A_1 \wedge \\
B_1 \wedge \ldots \wedge B_n \wedge \\
B_s \wedge \\
B_{i} \wedge \\
[X_i, x_i : N_i, n_i](I_i \wedge J_i) \wedge \\
(I_1 \wedge J_1) \wedge \ldots \wedge (I_{n-1} \wedge J_{n-1}) \wedge \\
I_n \wedge \\
J_{n_1} \wedge \ldots \wedge J_{n_{j-1}} \\
\Rightarrow \\
J_{n_j}
$$

C.4 Initialisation in an Implementation

Mathematical formula of the proof obligation:

$$
A_1 \wedge \\
B_1 \wedge \ldots \wedge B_n \wedge \\
B_s \wedge \\
B_{i} \wedge \\
[X_i, x_i : N_i, n_i](I_i \wedge J_i) \wedge \\
(I_1 \wedge J_1) \wedge \ldots \wedge (I_{n-1} \wedge J_{n-1}) \wedge \\
I_n \wedge \\
J_{n_1} \wedge \ldots \wedge J_{n_{j-1}} \\
\Rightarrow \\
\text{“Initialisations of imported components then initialisation of the implementation”} \\
\text{“applied to the negation of the specified initialisation applied to the invariant”} \\
[[X_i, x_i : N_i, n_i]U_i; [X_{i_2}, x_{i_2} : N_{i_2}, n_{i_2}]U_{i_2}; U_n] \neg [U_{n-1}] \neg I_n
$$
C.5 Operations on an Implementation

Mathematical formula of the proof obligation:

\[
A_1 \land \\
B_1 \land \ldots \land B_n \land \\
B_s \land \\
B_i \land \\
I_s \land J_s \land \\
[X_i, x_i : N_i, n_i] (I_i \land J_i) \land \\
(I_i \land J_i) \land \ldots \land (I_n \land J_n) \land \\
Q_1
\Rightarrow
\]

“Implementation operation applied to the negation of the specified operation”
“applied to the negation of the link invariant”
[[u_1 : u'_1] [V_n] \neg [V_{n-1}] \neg (I_n \land u_1 u'_1)"

C.6 Specification of Local Operations in an Implementation

Mathematical formula of the proof obligation:

Let \( D_{11} \) be the typing predicates of the outputs parameters \( u_1 \).

\[
A_1 \land \\
B_1 \land \ldots \land B_n \land \\
B_s \land \\
B_i \land \\
I_s \land J_s \land \\
[X_i, x_i : N_i, n_i] (I_i \land J_i) \land \\
BTyping(vc_n) \land \\
Q_{11}
\Rightarrow
\]

“Local operation applied to the invariants of imported components and to the postcondition”
[[V_{11}] [X_i, x_i := N_i, n_i] (I_i \land D_{11})]
C.7 Implementation of Local Operation in an Implementation

Mathematical formula of the proof obligation:

\[ A_1 \land \text{“Machine parameter constraints”} \]
\[ B_1 \land \ldots \land B_n \land \text{“Vertical development properties”} \]
\[ B_s \land \text{“Properties of constants of components seen”} \]
\[ B_i \land \text{“Properties of constants of imported components”} \]
\[ I_s \land J_s \land \text{“Invariants and assertions of components seen”} \]
\[ [X_i, x_i : N_i, n_i](I_i \land J_i) \land \text{“Invariants and assertions of imported components”} \]
\[ BT\text{typing}(vc_n) \land \text{“B typing of the concrete variables of the implementation”} \]
\[ cv_n = cv'_n \land \text{“Implicit invariant of equality for the concrete variables of the implementation”} \]
\[ av_i = av'_i \land \text{“Implicit invariant of equality for the abstract variables of the imported components”} \]
\[ cv_i = cv'_i \land \text{“Implicit invariant of equality for the concrete variables of the imported components”} \]
\[ Q_{11} \land \text{“Precondition of the local operation specification”} \]
\[ \Rightarrow \]

“Implementation of the local operation applied to the negation of the specification of the local operation applied to the negation of the invariant of equality for the variables of the implementation and of imported components and for the output parameters of the local operation.”

\[ [[u_{11} := u'_{11}][V_{12}]\neg [V_{11}]\neg (cv_n = cv'_n \land av_i = av'_i \land cv_i = cv'_i \land u_{11} = u'_{11}) \]